

**MARICOPA COUNTY LIBRARY DISTRICT BOARD OF DIRECTORS MINUTE BOOK**

**SPECIAL SESSION**

**June 17, 2002**

The Board of Directors of the Library District of Maricopa County, Arizona, convened at 2:00 p.m., June 17, 2002, in the Board of Supervisors' Conference Room, Tenth Floor, 301 W. Jefferson, Phoenix, Arizona, with the following members present: Don Stapley, Chairman; Fulton Brock, Vice Chairman; Andy Kunasek, Max W. Wilson, Mary Rose Wilcox, Fran McCarroll, Clerk of the Board; and Alma Hernandez, Administrative Coordinator. Also present: David Smith, County Administrative Officer; and Paul Golab, Deputy County Attorney. Votes of the Members will be recorded as follows: (aye-no-absent-abstain).

**FISCAL YEAR 2002-2003 TENTATIVE BUDGET**

Item: Fiscal Year 2002-2003 Tentative Budget by total appropriation for each fund. (C49020498) (ADM1802) (ADM2800)

Sandi Wilson, Deputy County Administrator, provided a brief overview of the Maricopa County Library District's (MCLD) tentative budget and reported that the property tax rate had remained flat. The overall expenditure total of \$12.6 million is a 16% increase due to the Southwest Regional Library scheduled to open this summer. The fund balance will be used to offset operational costs of the Northwest Regional Library for a short period of time. The Office of Management and Budget recommended that a special study session be scheduled in July to discuss the future of the North Central Library and IGA's that are currently in development.

Motion was made by Director Kunasek, seconded by Director Brock, and unanimously carried (5-0) to approve the Fiscal Year 2002-2003 Tentative Budget by total appropriation for each fund for the Library District, in the amount of \$12,602,743; approve the FY 2002-2003 Tentative Budget Executive Summary; and set a public hearing to solicit public comments on the FY 2002-2003 Budget for 9:00 a.m., Monday, July 22, 2002.

**FUNDED POSITIONS POLICY**

Item: Amendment of the "Funded Positions Policy" for the Library District to establish guidelines. (C49020546) (ADM2808)

Motion was made by Director Kunasek, seconded by Director Brock, and unanimously carried (5-0) to amend the "Funded Positions Policy" (B3001) for the Library District to establish guidelines for adding, deleting and changing positions so that all authorized positions are fully funded on an annualized basis.

**FUNDED POSITIONS POLICY**

**A. Introduction**

The purpose of the Funded Positions Policy is to establish guidelines for adding, deleting and changing positions so that all authorized positions are fully funded on an annualized basis, and that any filled or vacant position that becomes unfunded or under-funded is either fully funded or deleted.

**B. Definitions**

Full Time Equivalent (FTE): A value equivalent to a number of employees paid full time (forty hours per week, or from 2,080 to 2,096 hours per year, depending on the calendar). A half-time position

that is paid 20 hours per week equates to .5 FTE; four half-time positions, each paid for 20 hours per week, equals 2.0 FTE, and so on. A single position may have an FTE value greater than zero, but not greater than 1.0. A group of positions has an aggregate FTE value based on the FTE values of the specific positions within the group.

Fully Funded Position: An authorized position that is fully funded by the general revenues of the County, a special revenue source, or a grant.

Payroll Liability: The salaries, benefits, payoff of accrued vacations and compensatory time and career center expenses that result from a reduction in force.

Under-funded Position: A position for which a County Department/Special District has 1% to 99% of the funding required to support it on an annualized basis

Unfunded Position: A position that is not funded.

### **C. New Position Establishment Policy Guidelines**

1. In order to create a new position, County Departments/Special Districts must submit a request to the Office of Management and Budget (OMB) on an official form that includes the following information:
  - Working title and description of the position or positions requested.
  - The number of positions requested and FTE value(s) of the position(s) requested.
  - A Brief description of the purpose of the new position(s), including relation to program/activity/service, performance measures, key results, and strategic goals.
  - The full cost of the requested position(s), including not only direct salaries and benefits, but also indirect costs such as uniform allowances, equipment, and mandated or essential training. The County Department/Special District will also indicate whether it has enough building space, or identify the costs and sources of funding for additional space if needed.
  - The funding source of the position(s) and location in the current budget.
  - A list of any positions to be deleted in conjunction with creating the new position, along with a description of any other budgetary reductions made to offset the cost of the new position(s).
  - Justification of why budget savings, including savings from deleted positions, should be used to create new positions and not result in a budget reduction.
2. The County Department/Special District director, elected official or chief deputy to an elected official must sign all position requests.
3. Position requests must be sent to the Office of Management and Budget (OMB) for review. OMB will verify that the requested positions have been budgeted appropriately and that there is adequate funding to support the budget as a whole, including the requested position(s). OMB will not approve new positions unless their fully annualized cost can be supported within the County Department's/Special District's current appropriation, or if the Board of Supervisors/Directors has approved other funding. OMB will also verify that the request complies with established policies and priorities of the Board of Supervisors/Directors.

4. On approval by OMB, position requests from Elected or Judicial Branch departments will be forwarded to Compensation for review of job description and salary information. Requests from appointed County Departments/Special Districts will be forwarded to the Deputy County Administrator for final approval before they are forwarded to Compensation.
5. If a position request is denied, Elected or Judicial Branch departments may appeal the decision to the Board of Supervisors/Directors. If the Board of Supervisors/Directors approves a position request on appeal, the approval must be accompanied by an action to provide funding for the position(s) as necessary.

**D. Position Funding Policy Guidelines**

1. Each year as part of the budget process, County Departments/Special Districts must verify that budgets and funding are adequate to support all authorized positions. The Office of Management and Budget will validate that position funding is adequate, and will identify all positions that are potentially unfunded or underfunded.
2. Personnel will be budgeted by market range title, full-time equivalent (FTE) and average wage and benefit rates at the fund and organizational unit level within County Department/Special District budgets. Total authorized FTE's and average wage and benefit rates must be at or lower than budgeted levels at all times, and fully funded on an annualized basis with current appropriation levels and funding.
3. Personnel savings due to natural staff turnover will be budgeted in all County Departments/Special Districts at appropriate levels. If actual personnel savings reaches high levels due to failure to fill positions for extended periods, adjustments will be made to either eliminate the positions or make efforts to fill them.
4. County Departments/Special Districts with vacant underfunded positions will discuss the funding shortfall with OMB. County Departments/Special Districts have the option of eliminating the position(s) or identifying additional funding for the position(s).
5. OMB and County Departments/Special Districts will delete any vacant positions identified as unfunded or under-funded.
6. If filled positions are identified as unfunded or under-funded, the County Departments/Special Districts will provide the following information:
  - The position or positions' contribution to provision of service and results.
  - The full cost to continue the position.
  - The resulting payroll liability if current employee(s) are terminated due to lack of funding.

This information will be forwarded for review and validation by the Office of Management and Budget. OMB will consolidate the information and forward it to the Board of Supervisors/Board of Directors for possible action.

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7. If eliminating unfunded or under-funded positions results in a Reduction In Force, the process will be conducted in a uniform manner in accordance with procedures administered by the Human Resource Department. Any payroll liability costs will be funded from within the County Department's/Special District's current appropriation.

**MEETING ADJOURNED**

There being no further business to come before the Board, the meeting was adjourned.

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Don Stapley, Chairman of the Board

ATTEST:

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Fran McCarroll, Clerk of the Board